

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 90,1092-CCC)

PATENT

In the Application of:

CIVELLI *et al.*

Serial No.: 10/060,795

Filed: January 29, 2002

For: DOPAMINE RECEPTORS  
AND GENES

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Before the Examiner:

Group Art Unit:

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JUN 12 2002

TECH CENTER 1600/2900

**RESPONSE TO NOTICE OF NUCLEOTIDE AND AMINO ACID  
SEQUENCE LISTING REQUIREMENTS AND AMENDMENT**

Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Responsive to the Notice to Comply, mailed April 17, 2002, Applicants submit herewith a paper copy of a Sequence Listing, added by amendment herewith, and a 3.5" computer diskette containing file 901092CCC.seq.txt, said file comprising a Sequence Listing pursuant to the requirements of 37 C.F.R §1.821 *et seq.* Applicants' attorney by his signature below hereby attests to the fact that the Sequence Listing added to the specification by amendment herein is identical to the computer-readable file 901092CCC.seq.txt contained on the computer diskette filed herewith, pursuant to 37 C.F.R. §1.821(e). No new matter is introduced into the specification by entry of the amendment or acceptance of the computer-readable form of the Sequence Listing.

**AMENDMENT**

**IN THE SPECIFICATION:**

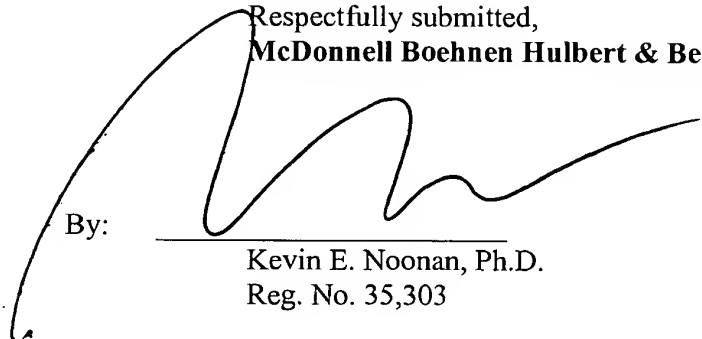
Please enter the paper copy of the Sequence Listing submitted herewith.

If the Examiner in charge of this application believes it to be helpful, he is invited to contact the undersigned by telephone at (312) 913-0001.

Respectfully submitted,  
**McDonnell Boenken Hulbert & Berghoff**

Date: May 17, 2002

By:



\_\_\_\_\_  
Kevin E. Noonan, Ph.D.  
Reg. No. 35,303

7686 #3

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Group Art Unit: Technology Center 2600



TRANSMITTAL LETTER

05-20-2002

Commissioner of Patents  
Washington, D.C. 20231

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U.S. Patent & TMO/TM Mail Rcpt Dt. #70

Sir:

JUN 1 2 2002

In regard to the above identified application:

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1. We are transmitting herewith the attached

Response to Notice to Comply with Sequence Listing Requirements, paper and computer-readable copy of Sequence Listing, and return postcard

2. No additional fee is required.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this Transmittal Letter and this paper, as described in paragraph 1 herein above, are being sent by U.S. Postal Service as "Express Mail" in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this 20<sup>th</sup> day of May 2002.

By: 

Kevin E. Noonan  
Reg. No. 35303



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/060,795	01/29/2002	Olivier Civelli	90-1092-CCC

20306

MCDONNELL BOEHNEN HULBERT & BERGHOFF  
300 SOUTH WACKER DRIVE  
SUITE 3200  
CHICAGO, IL 60606

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CONFIRMATION NO. 8997

## FORMALITIES LETTER



\*OC000000007884279\*

Date Mailed: 04/17/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**


Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE